



ITW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Mark P. Anstadt et al.

Application No.: 10/607,434

Filing Date: June 26, 2003

Title: SENSOR-EQUIPPED AND
ALGORITHM CONTROLLED DIRECT
MECHANICAL VENTRICULAR
ASSIST DEVICE

) MAIL STOP AMENDMENT

) Group Art Unit: 3766

) Examiner: Frances P. Oropeza

) Confirmation No.: 5213

NINTH
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

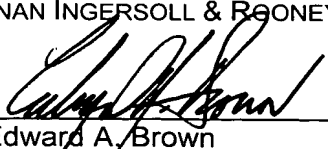
Enclosed is a NINTH Information Disclosure Statement (IDS) and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$ 180 as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☒ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$ 180 as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.
- ☐ Charge \$__ to credit card for the fee due. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date March 13, 2007

By: 
Edward A. Brown
Registration No. 35033

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Alexandria, VA 22313-1404
703 836 6620



Attorney's Docket No. 1034448-000007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	
Mark P. Anstadt et al.)	Group Art Unit: 3766
Application No.: 10/607,434)	Examiner: Frances P. Oropeza
Filed: June 26, 2003)	Confirmation No.: 5213
For: SENSOR-EQUIPPED AND)	
ALGORITHM CONTROLLED DIRECT)	
MECHANICAL VENTRICULAR ASSIST)	
DEVICE)	

NINTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), a statement is enclosed.

I, the undersigned, hereby state that no item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this Information Disclosure Statement.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No.02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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Date: March 13, 2007

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Edward A. Brown
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(use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/607,434
Filing Date	June 26, 2003
First Named Inventor	Mark P. Anstadt et al.
Examiner Name	Frances P. Oropeza
Attorney Docket No.	1034448-000007

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.